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Date: January 25, 2005

Firm: USPTO

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Perlegen's Docket No.: 100/1046-20

Re:

Applicant: PERLEGEN SCIENCES, INC.

Application No.: 10/786,475

Inventor(s): Konvicka

Enclosed, please find the following documents:

- 1. Transmittal Form
- 2. Supplemental Information Disclosure Statement
- 3. Form 1449

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| | Application Number | 10/788,475 |
| TRANSMITTAL | Filing Date | February 24, 2004 |
| FORM | First Named Inventor | Karel Konvicka |
| (to be used for all correspondence after initial | filing) Art Unit | 2857 G.: |
| | Examiner Name | Unknown 1/4 1/2 5 2005 |
| Total Number of Pages in This Submission | 4 Attorney Docket Number | 100/1048-20 |
| | ENCLOSURES (Check all that | apply) |
| Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Altorney, Revocation Change of Correspondence Addres Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks | Other Enclosure(s) (please Identify below): |
| SIGNA* Firm Deana A. Arnold, Ph.D. | URE OF APPLICANT, ATTORNE | Y, OR AGENT |
| Individual name | 3 (Chall | |
| Signature 25005 | | |
| January 2.5 2005 | | |
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| Typed or printed name Barbara deRuyter | 2 , | |
| Signature BALLU | 48 | Date January 25, 2005 |

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Date: January 25

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Barbara deRuytor

C JAN 2 5 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

KONVICKA

Application No.: 10/786,475

Filed: February 24, 2004

For: Analysis methods for individual genotyping

Examiner: Unknown

Art Unit: 2857

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT

UNDER 37 CFR §1.97 AND §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on the attached form PTO-1449 are being called to the attention of the Examiner. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

KONVICKA, Karel Page 2

Customer No. 31662

Attorney Docket No100/1046-20

| ⊠ apply): | This st | atement | qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that | |
|-------------------------------|--|-------------------|--|--|
| | | (1) | It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d) OR | |
| | | (2) | It is being filed within 3 months of entry of a national stage OR | |
| | \boxtimes | (3) | It is being filed before the mail date of the first Office Action on the merits OR | |
| | | (4) | It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114. | |
| ⊠ | Fee Authorization. The Commissioner is hereby authorized to charge the above-referenced fees of \$0.00 and/or charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-2043 (Docket No. 100/1046-20). | | | |
| | | | Respectfully submitted, | |
| | | | PERLEGEN SCIENCES, INC. | |
| Dated: | January | y <i>Z</i> S, 200 | Deana A. Arnold, Ph.D. Reg. No. 52,567 | |
| 2021 St Mounta (650) 62 | in View | , CA 94 | 043 | |

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| Approved for use through 07/31/2006, OMB 0651-003 | 31 |
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|-----------------------------------|--|------------------|---|--|
| | Application Number | 10/786,475 | _ | |
| INFORMATION DISCLOSURE | Filing Date | February 24,2004 | | |
| | First Named Inventor | Karel Konvicka | | |
| STATEMENT BY APPLICANT | Art Unit | 2857 | | |
| (Use as many sheets as necessary) | Examiner Name | Unknown | | |
| Sheet 1 of 1 | Attorney Docket Number | 100/1046-20 | フ | |

| | | | | DOCUMENTS | | |
|-----------------------|--------------|---|-----------------------------|--|---|--|
| Examiner Initials* | Cite No.1 | Document Number Number-Kind Code ^{2 (V Innown)} | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | |
| | | ^{US-} 5,858,659 | 1-12-1999 | Sapolsky, et al. | | |
| _ | | ^{US-} 6,287,776 | 9-11-2001 | Hefti | | |
| | | ^{us-} 6,340,568 | 1-22-2002 | Hefti | | |
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| | FOREIGN PATENT DOCUMENTS | | | | | | | | |
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| | Cite No. | Foreign Patent Document | Publication Date | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages | T ^e | | | |
| | | Country Code ³ Number ⁴ "Kind Code ⁵ (if known) | MM-DD-YYYY | | Or Relevant Figures Appear | | | | |
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